

PATENT

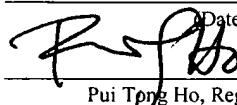
Case Docket No. CTJPL.008A
Date: December 10, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Graetz, et al.
Appl. No. : 10/660,382
Filed : September 10, 2003
For : HIGH-CAPACITY
NANOSTRUCTURED
SILICON AND LITHIUM
ALLOYS THEREOF
Examiner : Unknown
Group Art Unit : Unknown

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

December 10, 2003


(Date)

Pui Tong Ho, Reg. No. 44,155

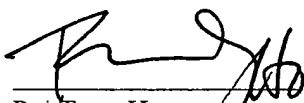
TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- An Information Disclosure Statement.
- A PTO Form 1449 with 6 references.
- The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- Return prepaid postcard.



Pui Tong Ho
Registration No. 44,155
Attorney of Record
Customer No. 20,995
(949) 760-0404



INFORMATION DISCLOSURE STATEMENT

Applicants : Graetz, et al.
 App. No. : 10/660,382
 Filed : September 10, 2003
 For : HIGH-CAPACITY NANOSTRUCTURED
 SILICON AND LITHIUM ALLOYS
 THEREOF
 Examiner : Unknown
 Group Art Unit : Unknown

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 6 references. Copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of other references, if listed, are enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

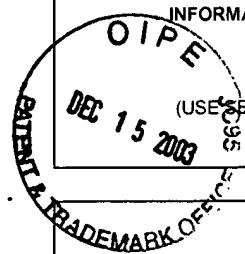
KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: December 10, 2003

By:

Pui Tong Ho
 Registration No. 44,155
 Attorney of Record
 Customer No. 20,995
 (949) 760-0404

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. CTJPL.008A	APPLICATION NO. 10/660,382
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Graetz, et al.	
(USE SEVERAL SHEETS IF NECESSARY)		FILING DATE September 10, 2004	GROUP Unknown



U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
1	US 6,334,939 B1	1/1/02	Zhou, et al.	204	409	6/15/00

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
2	K. Sayama, H. Yagi, Y. Kato, S. Matsuta, H. Tarui, and S. Fujitani, Abstract 52, The 11th International Meeting on Lithium Batteries, Monterey, CA, June 23-28, 2002	
3	T. Takamura, S. Ohara, J. Suzuki, and K. Sekine, Abstract 257, The 11th International Meeting on Lithium Batteries, Monterey, CA, June 23-28, 2002	
4	A High Capacity Nano-Si Composite Anode Material for Lithium Rechargeable Batteries, Li, et al., Electrochemical and Solid-State Letters, 2 (11) 547-549 (1999)	
5	Li Insertion/Extraction Reaction at a Si Film Evaporated on a Ni Foil, Ohara, et al., Journal of Power Sources 119-121 (2003) 591-596	
6	Highly Reversible Lithium Storage in Nanostructured Silicon, Graetz, et al., Electrochemical and Solid-State Letters, 6 (9) A194-A197 (2003)	

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EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	